

# IFSA 2016 Workshop 1.10 'Practical experiences and methodological concepts from the first years of EIP-AGRI implementation'



## First Experiences in Implementation and Evaluation of the EIP Approach in Germany

Harper Adams University, Newport

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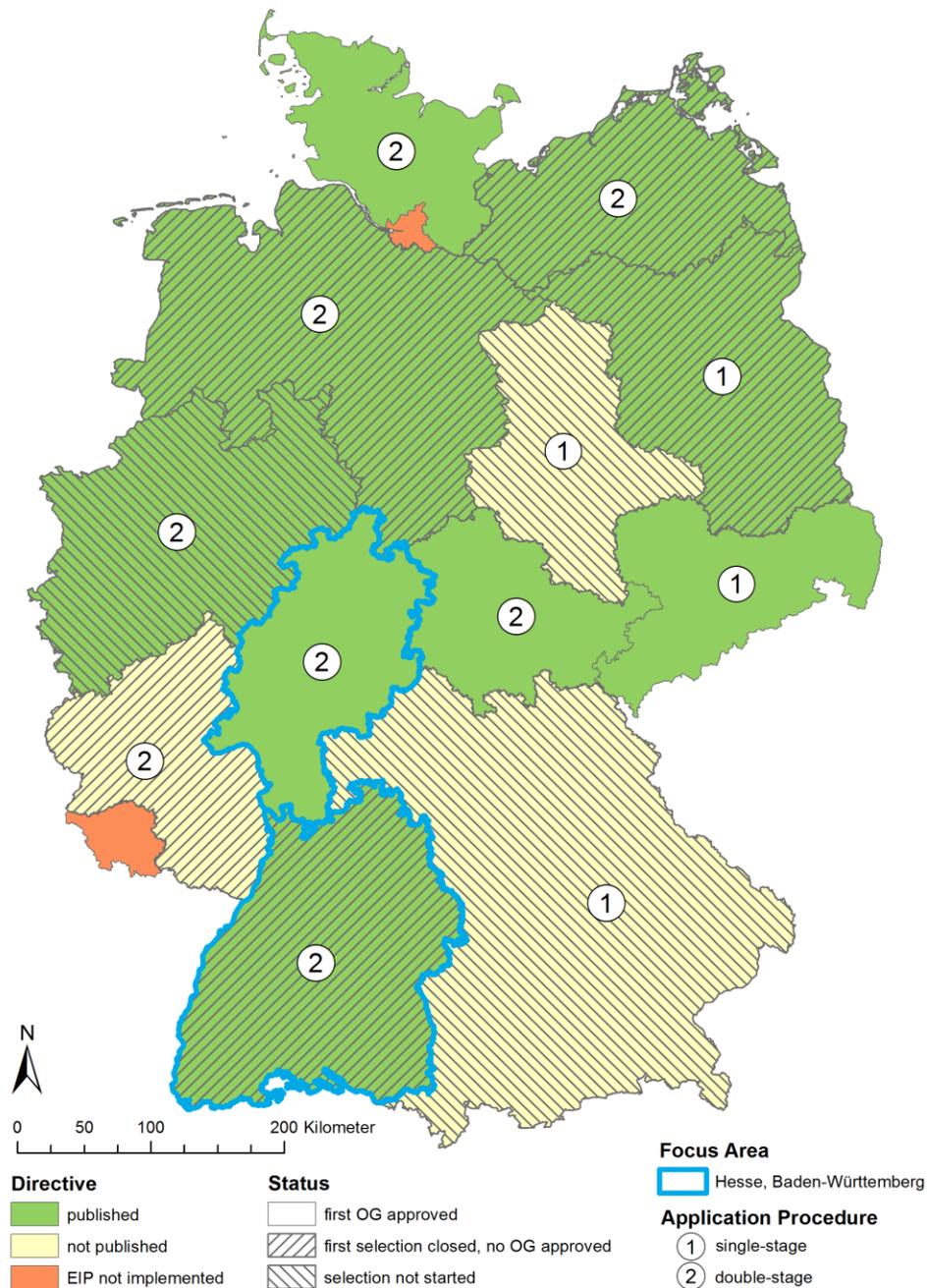
**Institut für Ländliche Strukturforschung**  
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## Agenda

1. **Status quo of EIP-AGRI implementation in Germany**
2. **EIP-AGRI in the federal state of Hesse**
  - Innovation Support Service: our task
  - First Operational Groups
3. **Evaluation approach of EIP-AGRI in Baden-Württemberg**
  - General Considerations
  - Objects, methods and instruments of investigation
4. **Conclusions and Outlook**

# 1. Status quo of EIP-AGRI implementation in Germany

- 13 rural development programmes (RDP) funded through the European Agricultural Fund for Rural Development (EAFRD)
- EIP-AGRI is programmed in 12 federal states
- The status quo of the implementation differs in the following points:
  - Publishing of the Directive
  - Funding Conditions
  - Application Procedure (single- or double-stage)
  - Status of the selection process of operational groups (OG)



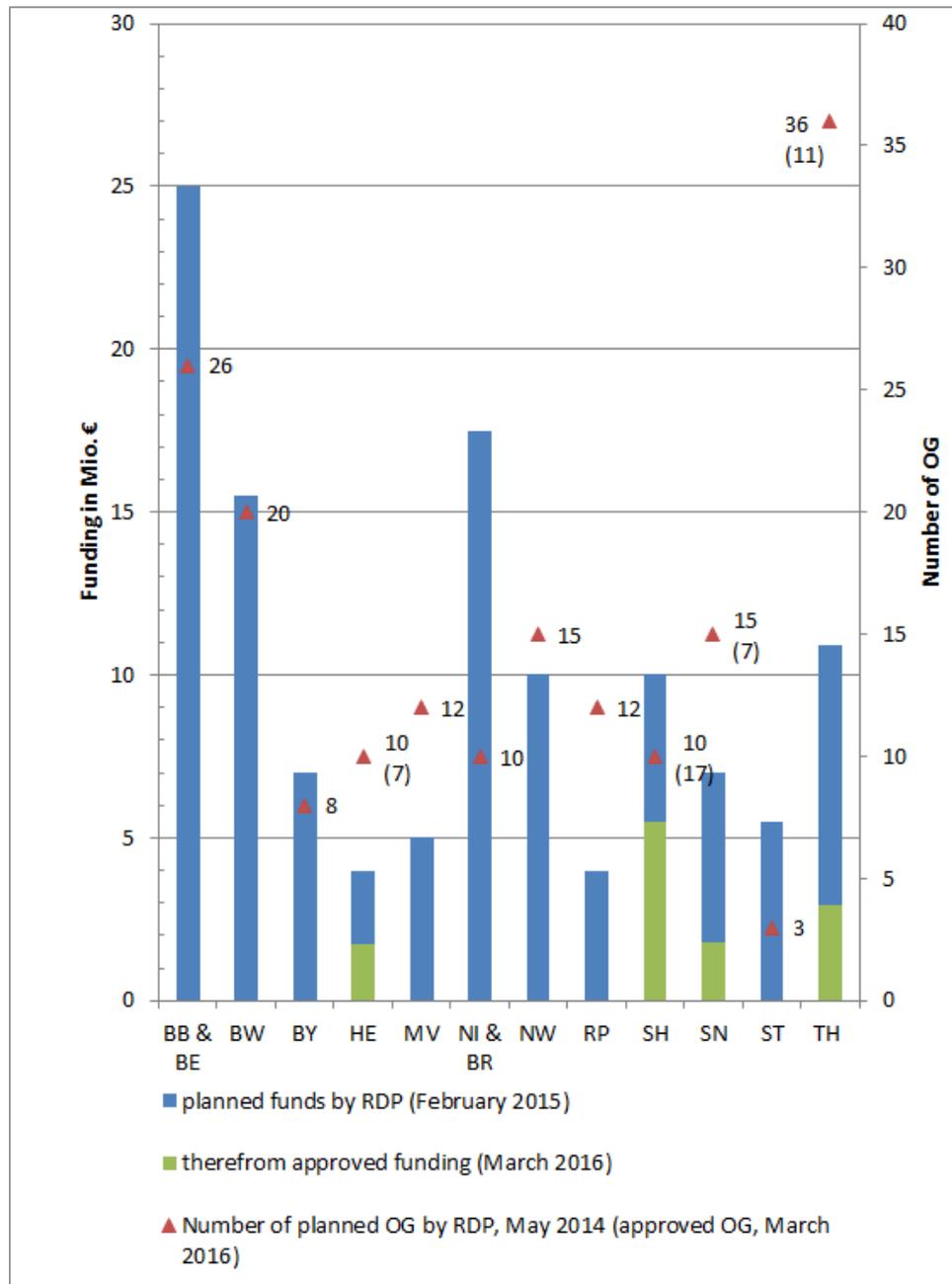


Figure: Financial allocation for EIP-AGRI in Germany – planning and actual status quo  
Sources: Rocha (2015), LfULG (2016), own request 2016

(Abbreviations: BB & BE = Brandenburg & Berlin, BW = Baden-Württemberg, BY = Bavaria, HE = Hesse, MV = Mecklenburg Western Pomerania, NI & BR = Lower Saxony & Bremen, NW = Northrhine-Westphalia, RP = Rhineland-Palatinate, SH = Schleswig-Holstein, SN = Saxony, ST = Saxony-Anhalt, TH = Thuringia)

## 2. EIP-AGRI in the federal state of Hesse Innovation Support Service: Our task

- Support of the managing authority for the RDP-Hesse in implementing M 16: EIP-AGRI and cooperation measures (16.1, 16.4, 16.5 and 16.7)
- Input for information and publicity in Hesse (leaflets, homepage etc.)
- Networking between EIP-Operation Groups (OG) cooperation and further activities of M 16 within Hesse (and Germany)
- Support of OGs and cooperation activities during the application and realisation phase
  - First contact point for interested stakeholders
  - Connecting the several actors

## 2. EIP-AGRI in the federal state of Hesse

### First selected Operational Groups (OG)

- With the first call, since January 2016 Hesse funds seven Operational Groups with nearly two million Euros
- thematic scope in Hesse: from boosting regional feedstuff, sustainable utilisation of 'senior laying hens', a sustainable productivity in the wheat sector and horticulture to the control of plant diseases.
- Most of the Operational Groups develop process innovations
- Most groups are expected to reach the innovation degree of an improvement
- Some address specific problems and solutions relevant for a small geographic scope
- Most Operational Groups address questions which are relevant beyond the Hessian borders

### 3. Evaluation approach of EIP-AGRI in Baden-Württemberg

- EC evaluation framework
  - main question: to which extent innovation, cooperation and building the knowledge base in rural areas were supported by the funding programme
  - To answer this question the implementation of the funding programme, the selection of projects and their results and effects have to be examined
  - A range of evaluation methods with quantitative and qualitative approaches is needed
- General Considerations about the funded innovations
  - Degree of novelty (routine, improvement or basic innovation)
  - Range of change (radical or incremental)
  - Degree of transdisciplinary
  - Capability of shortening or accelerating innovation cycles

### 3. Evaluation approach: Implementation

- RDP MEPL III and funding guidelines:
  - objects of support, funding conditions and handling procedures for Operational Groups and overall objectives for funded innovation projects
- Research methods:
  - document analysis of the RDP and the guidelines
  - Survey of managing authority, granting and paying agents
  - Survey of beneficiaries
- Questions:
  - Are the funded projects capable to cope with the problems and identified needs in the RDP?
  - Do the stated rules of action allow the Operational Groups to act in accordance with their needs?

### 3. Evaluation approach: Selection of innovative approaches

- Selection process and selection criteria for OGs and projects
  - Will the 'right' projects be funded?
  - Are periodical calls planned during the funding period?
- Research methods:
  - analysis of the selection criteria and a comparison of the selection process with the EIP objectives
  - document analysis of funding data, project lists and in particular the action plans
  - Individual aspects can be investigated in greater depth in the context of case studies
- Questions/Criteria:
  - the innovative nature and type of innovation of the funded projects
  - the composition of Operational Groups
  - the potential contribution of the project to the EIP objectives

### 3. Evaluation approach: Results and Impacts

- Assessment of the effectiveness:
  - Up to what extent progress towards sustainability has been achieved?
  - Could the competitiveness of farms be increased?
- Research methods:
  - document analyses of interim and final reports
  - self-assessment / -evaluation of the OGs about their activities and performance
  - case studies
- Questions:
  - How useful are the achieved results (products, processes, technologies)?
  - How successful was the commercial launch and the dissemination of the results?

## 4. Conclusions and Outlook

- The different status quo of the implementation of EIP-AGRI in Germany is a big challenge and also a possibility of learning from each other
- Challenge: the integration of the need of the compliance with regulations, questions on failure rate, financial sanctions etc. with the thought of innovation and cooperation
  - All actors need to become acquainted with this new flexible and creative approach
- Open question how far the funded projects fulfil the expectations:
  - At what level of innovation are the projects located?
  - What kind of innovation can be expected?
  - What effects in building regional or national innovation clusters will be achieved?

# Thank you very much for your attention



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## 2. EIP-AGRI in the federal state of Hesse

### First selected Operational Groups (OG)

- ‘Aromatic Mint’: cultivating and improving the quality of mint varieties with special aromas and additives in Hesse
- ‘Value-added Chain of Domestic Soya in Hesse’: to eliminate deficits along the value-added chain ‘from the harvest to the feeding trough’
- ‘Organic Hen’: developing innovative product lines for the meat of organically raised laying hens when their laying period is over
- ‘Establishment of a More Sustainable and Environment-friendly Wheat Value-Added Chain’: reduce soil fertilisation by nitrogen
- ‘Development of Professional Practice and Optimization of the Logistics Chain’: measures against the fungus *Rhizoctonia Solani* in potato cultivation
- ‘yellow wilt’ on lamb’s lettuce: try to identify the reason for the disease’s occurrence or to find scientific solutions
- ‘Innovation in Decision-making Support for Irrigation of Outdoor Vegetables’

# 1. Introduction

## - Institute for Rural Development Research (IfLS) -

- In business for 60 years as an independent research and consultancy institute at Goethe University in Frankfurt/Main and carried by a non-profit association
- Addressing agricultural policy issues as well as the development of agriculture and rural regions in Germany and Europe
- Consultancy on different levels (federal states, regions, stakeholders)
- Working on several EU-Projects in the field of strengthening innovations in rural areas and the agriculture (IN-SIGHT, RETHINK, PEGASUS)
- On-going Evaluation of the rural development programmes in the federal state Baden-Württemberg and Rhineland-Palatine including M 16 (EIP-AGRI)
- Support of the managing authority for the RDP-Hesse in implementing M 16: EIP-AGRI and cooperation measures (16.1, 16.4, 16.5 and 16.7)